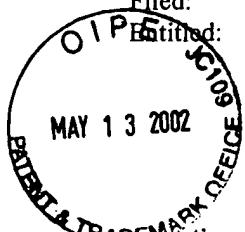


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sherry Leonard *et al.*
Serial No.: 08/956,518
Filed: 10/23/97
Entitled: ALPHA-7 NICOTINIC RECEPTOR

Group No.: 1815
Examiner:



RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 7, 1998.

By: 
Marlene Garitano

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed January 23, 1998 (a copy of which is enclosed), applicants submit the following documents:

- Executed declaration or oath
- Power of Attorney
- Petition for extension of time for filing within the first month

A check in the amount of \$635.00 is enclosed herewith to cover:

\$130.00 surcharge for filing missing parts of an application
\$110.00 for filing a one month extension of time
\$395.00 to complete the filing fee for a large entity

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: April 7, 1998


Kamrin T. MacKnight
Registration No. 38,230

MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200
San Francisco, California 94104
415/705-8410

RED



Serial No.: 08/956,518

MC File No.: UTC - 03042

By: KTM

In The Matter of the Application Of: Sherry Leonard et al.

Date Mailed: 04/07/98

Due Date: 03/23/98

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | | |
|---|--|--|--|
| <input type="checkbox"/> Design Patent Application _____ Pages | <input type="checkbox"/> Trademark Application | <input checked="" type="checkbox"/> Transmittal Letter | <input type="checkbox"/> Duplicate |
| <input type="checkbox"/> Plant Patent Application _____ Pages | <input type="checkbox"/> ITU Trademark Application | <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Cert. of Express Mailing Label No.: |
| <input type="checkbox"/> Prov. Patent Application _____ Pages | <input type="checkbox"/> Statement of Use | <hr/> | |
| <input type="checkbox"/> Utility Patent Application _____ Pages | <input type="checkbox"/> Trademark Renewal Application | <input checked="" type="checkbox"/> Check(s): \$ 635.00 | <input type="checkbox"/> Deposit Account Authorization |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Section 8 & 15 Affidavits | <hr/> | |
| <input type="checkbox"/> Form PTO-1449 and Copies of _____ References Contained Thereon | <input type="checkbox"/> Specimens _____ | <input type="checkbox"/> | <hr/> |
| <input checked="" type="checkbox"/> Form PTO-1533 (Not. of Missing Parts) | <input checked="" type="checkbox"/> Declaration/Oath/Affidavits | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Certificate Re: Sequence Listing | <input type="checkbox"/> Drawings: _____ Sheet(s) | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Sequence Listing in Paper Copy and on Computer Readable Diskette | <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Form PTOL-85B (Issue Fee Transmittal) | <input type="checkbox"/> Power of Attorney _____ | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Form PTO-1050 (Cert. of Correction) | <input type="checkbox"/> Form PTO-1594/1595 | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Maintenance Fee Transmittal Form | <input type="checkbox"/> Assignment | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Small Entity Declaration | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> PCT Application _____ Pages | <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> | <hr/> |
| <input type="checkbox"/> Chapter II Demand | <input checked="" type="checkbox"/> Extension of Time Req.: 1 Month(s) | <input type="checkbox"/> | <hr/> |
| | <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> | <hr/> |
| | <input type="checkbox"/> Petition _____ | <input type="checkbox"/> | <hr/> |

FOR PTO USE

MEDLEN & CARROLL, LLP
220 MONTGOMERY ST. STE. 2200 PH 415-705-9410
SAN FRANCISCO CA 94104

BANK OF THE WEST
SAN FRANCISCO MAIN OFFICE
SAN FRANCISCO CALIFORNIA 94104
90-78-1214

23885

PAY Six Hundred Thirty-Five Dollars And 00/100

TO THE ORDER OF Honorable Commissioner of Patents & Trademarks

DATE April 7, 1998

AMOUNT \$635.00

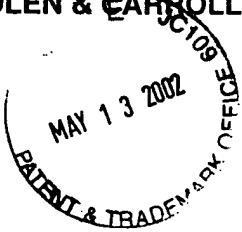
TWO SIGNATURES REQUIRED FOR AMOUNTS OVER \$500.00

J. D. Carroll
Stephen M. Langford

023885 121100782 042005603

23885

MEDLEN & CARROLL, LLP



23885

Client Code - Matter No.: UTC-03042

04/07/98

\$635.00

Description: Missing Parts w/1 Month Extension of Time



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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O P E 08/956,518 10/23/97 LEONARD

UITE - 03042

MAY 13 2002

KAREN T. MACKNIGHT
MELLEN & CARROLL
220 MONTGOMERY STREET
SUITE 2200
SAN FRANCISCO CA 94104

0252/0123

NOT ASSIGNED

1815

DATE MAILED:

01/23/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 65 for a large entity small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). 3/23/98 RCD

If all required items on this form are filed within the period set above, the total amount owed by applicant as a large entity small entity (verified statement filed) is \$ 65.

1. The statutory basic filing fee is:

- missing.
 insufficient.

Applicant must submit \$ 65 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 65, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing.
 does not cover the newly submitted items.
 does not identify the application to which it applies.
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are:

- missing.
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$ 65 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

J. Melvin
Customer Service Center

Initial Patent Examination Division (703) 308-1202



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/916,610 MAY 13 2002	10/21/98	LEONARD 025070123 MEDLEN & CARROLL	ATTORNEY HUTCHINSON & FIELD FEB - 2 1999 DATE MAILED: 01/23/00

RECEIVED
MAY 13 2002
PATENT & TRADEMARK OFFICE
KAMRIN L. MACKINNON
MURRAY & CARROLL,
220 MONTGOMERY STREET
SUITE 2200
SAN FRANCISCO, CA 94104

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 65 for a large entity small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). 3/23/98

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- missing.
 insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing.
 does not cover the newly submitted items.
 does not identify the application to which it applies.
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

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- missing.
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

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A copy of this notice MUST be returned with the response.

H. Melvin
Customer Service Center

Initial Patent Examination Division (703) 308-1202

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/956,518	10/23/97	1815	\$491.00	UTC-03042	10	25	4

MAY 13 2002

KAMRIN T. MACKNIGHT
MEDLEN & CARROLL
220 MONTGOMERY STREET
SUITE 2200
SAN FRANCISCO CA 94104

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s) SHERRY LEONARD, DENVER, CO.

FOREIGN FILING LICENSE GRANTED 01/23/98

* SMALL ENTITY *

TITLE

ALPHA-7 NICOTINIC RECEPTOR

PRELIMINARY CLASS: 435

PRIOR ART STATEMENT DUE 3 MONTHS 1/23/98
 FOREIGN FILING LETTER DUE _____
 6 MONTHS UTILITY / 3 MONTHS DESIGN 4/23/98
 FOREIGN FILING DEADLINE _____
 12 MONTHS UTILITY / 6 MONTHS DESIGN 10/23/98
 TWENTY-ONE MONTHS SUSPENSE DATE 7/23/99

RECEIVED

JAN 29 1998

MEDLEN & CARROLL